

## **SCHEDULE 1**

### **Charges and fees**

<b>Custody account maintenance fee</b>	USD 20 (per month)
<b>Securities safekeeping services</b>	
ALL markets	0,04% p.a.
UA Government Local Bonds (in Clearstream)	0,4% p.a.
<b>OTC TRANSACTIONS SETTLEMENT FEES (per instruction)</b>	
Eurobonds	USD 10
Equities (USA/EU/UK)	USD 30
Ukraine (only OTC trades for UA local securities)	USD 50
UA Government Local Bonds (via Clearstream)	USD 180
<b>EXCHANGE TRANSACTIONS SETTLEMENT FEES</b>	
Exchange trades (equities only)	Free
Blocking/unblocking securities for trading on stock exchange (US/European market)	USD 40
Blocking funds for trading on stock exchange (US/European market)	USD 80 – for the amount less than USD 500 000.00 USD 130 – for the amount more than USD 500 000.00
Unblocking funds for trading on stock exchange (US/European market)	USD 35
<b>OPERATIONAL FEES</b>	
Foreign payment - Funds deposit	USD 12
Foreign payment - Funds withdrawal	USD 135
Funds withdrawal (SEPA)	USD 35
Securities deposit (per each security)	USD 35
Securities withdrawal (per each security)	USD 35
Blocking funds for trading UA government bonds	USD 120
<b>CORPORATE ACTIONS FEES</b>	
Dividends income collection (only for UA local securities)	0,2% from total amount, min USD 15, max USD 300
Dividends income credit (only for UA local securities)	USD 20
Full proxy voting	USD 100 (plus external costs)
Full proxy voting (UA local securities)	USD 200
Buy back (UA local securities)	USD 100 (plus any other external costs if applicable)
<b>FX OPERATIONS FEES</b>	
EU Banks (Reference rate - EU bank, best available rate)	0,1% of total amount, min. USD 20
UA Banks (Reference rate – UA bank, best available rate)	0,2% of total amount, min. USD 40
<b>OTHER FEES</b>	
Services related to DRs (conversion)	USD 100 (plus additional charges according to global custodians/third parties fees)
Preparing audit requests (on client's request)	USD 20
Other fees	Any out of pocket fees charged by third party providers

## **ADDITIONAL NOTES**

Custody fees are calculated and charged monthly. Securities safekeeping services are charged monthly on portfolio basis.

The Customer receives investment account statement before or on the 10<sup>th</sup> day of the following month via email.

The account is not charged with account maintenance fee in case there are no assets (funds and securities) on the account.

If there are no securities on the account and the remaining cash balance is less than monthly account maintenance fee, DCCL will charge the account only for the amount equal to that remaining balance.

### **Securities safekeeping services**

Fee is calculated on monthly basis based upon the portfolio value as of the end of the month.

Portfolio value:

*Debt securities:* nominal value X number of securities on account as of the last working day

*Equities:* market price at the end of the last day of the month X number of securities on account

### **Recharges**

DCCL reserves the right to recharge the following types of fees: notarial fees, re-registration fee, translation fee, tax registration fee, stamp duty, etc., that might occur.

DCCL reserves the right to change fees notifying the Customer at least 10 days in electronic form or by placing the updated fees on the official website before any changes come into force.

### **Out of pocket expenses**

Any out of pocket fees charged by third party providers will be recharged by DCCL to the Customer.

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