

## **SCHEDULE 1**

### **Charges and fees**

Custody fees are calculated and charged monthly. Securities safekeeping services are charged monthly on portfolio basis.

The Customer receives investment account statement before or on the 10<sup>th</sup> day of the following month via email.

<b>Custody account maintenance (per account after its activation)</b>	USD 20 (per month) *	
<b>Securities safekeeping services **</b>	Annual fee	
Euromarket	0,04%	
United Kingdom	0,04%	
USA	0,04%	
Ukraine	0,04%	
UA Government Local Bonds (Clearstream)	0,5%	
Russia	<i>Bonds</i>	<i>Equities</i>
	0,17%	0,21%
<b>TRANSACTION SETTLEMENT FEES (USD)</b>		
<b>OTC TRANSACTIONS SETTLEMENT FEES</b>	<i>Bonds</i>	<i>Equities</i>
Eurobonds/Euromarket	USD 25	USD 25
Germany	USD 25	USD 25
Poland	USD 35	USD 35
United Kingdom	USD 30	USD 30
USA	USD 35	USD 35
France	USD 30	USD 30
Russia	USD 80	USD 100
Ukraine (only OTC trades for UA listed names)	USD 50	
UA Government Local Bonds (via Clearstream)	USD 180	
<b>EXCHANGE TRANSACTIONS SETTLEMENT FEES</b>		
Exchange trades (equities only)	Free	
Blocking/unblocking funds/securities for trading on stock exchange (US/European market)	USD 40	
<b>OPERATIONAL FEES (USD)</b>		
Funds deposit	Free	
Funds withdrawal	USD 40	
Securities deposit (per each security)	USD 40	
Securities withdrawal (per each security)	USD 50	
Blocking funds for trading UA government bonds	USD 40	
Dividends income collection (only for UA listed names)	0,2% from total amount, min USD 20.00, max USD 300.00	
<b>OTHER FEES (USD)</b>		
Account statement	Electronic – free	
	Paper – USD 30	
Full proxy voting	USD 100 (plus external costs)	
Full proxy voting (UA listed names)	USD 200	
Services related to DRs (conversion)	USD 100 (plus additional charges according to global custodians/third parties fees)	
Preparing audit requests	USD 20	
Other fees	Any out of pocket fees charged by third party providers	

After opening an account, the Client is obliged to make a prepayment for the amount of USD 120 (account maintenance fee for 6 months)

**\*USD 20** - first 6 months after account opening. Upon the expiration of six-month term, this fee will be calculated according to the monthly turnover on the account:

Less than USD 15 000 – USD 50

More than USD 15 000 – USD 20

The account is not charged with account maintenance fee in case there are no assets on the account.

If there are no securities on the account and the remaining cash balance is less than monthly account maintenance fee, DCCL will charge the account only for the amount equal to that remaining balance.

## \*\*Securities safekeeping services

Fee is calculated on monthly basis based upon the portfolio value as of the end of the month.

Portfolio value:

*Debt securities:* nominal value X number of securities on account as of the last working day

*Equities:* market price at the end of the last day of the month X number of securities on account

## FX operations

EU Banks	
<b>Internal FX</b> (used in all cases except those listed below)	<USD 10 000 0,2% of total amount, min. USD20 <i>(Reference rate - Bloomberg/EU bank)</i>
	>USD 10 000 0,1 % of total amount, min. USD20 <i>(Reference rate - EU bank, best available rate)</i>
<b>Internal FX</b> To cover negative balance after charging end of month commission <b><i>(Reference rate - Bloomberg, end of month rate)</i></b>	0,2% of total amount, min. USD 20.00
UA Banks	
<b>Conversion:</b> - Of received dividends (UA listed names) - Of proceeds from sale or redemption of UA listed names - USD into UAH currency for further operations with UA listed names	0,2% of total amount, min. USD 40.00 <i>(Reference rate – UA bank, best available rate)</i>

## Recharges

DCCL reserves the right to recharge the following types of fees: notarial fees, re-registration fee, translation fee, tax registration fee, stamp duty, etc., that might occur.

DCCL reserves the right to change fees notifying the Customer at least 10 days in electronic form or by placing the updated fees on the official website before any changes come into force.

## Out of pocket expenses

Any out of pocket fees charged by third party providers will be recharged by DCCL to the Customer.

Date of update: 03 July 2019