

ECONOMIC PROFILE FOR LEGAL ENTITY

PART 1. IDENTIFICATION

1.	Registered corporate name (further - "Client or Counterparty"):			
2.	Trading name (if any):			
3.	Country of incorporation:			
4.	Full addresses of the registered office and the head offices (if any):			
5.	Registered number:			
6.	LEI:			
7.	VAT:			
8.	Contact details:	Compliance	Trading	Back-office and settlements
	Name:			
	Telephone number:			
	Fax number:			
	E-mail address			
9.	Members of the board of directors			
	• True name and/or names used as these are stated on the official identity card or passport			
	• Date and place of birth			
	• Nationality (and citizenship if different)			
	• Passport series and number, date of issue and expiration, authority			
	• Full permanent address, including postal code			
	• Telephone (home and mobile), fax numbers, e-mail address			
	• Details of the profession and other occupations including the name of employer/business organisation			
	• True name and/or names used as these are stated on the official identity card or passport			
	• Date and place of birth			
	• Nationality (and citizenship if different)			
	• Passport series and number, date of issue and expiration, authority			
	• Full permanent address, including postal code			
	• Telephone (home and mobile), fax numbers, e-mail address			
• Details of the profession and other occupations including the name of employer/business organisation				
10.	Identification information of the persons, that are duly authorised to operate the account and to act on behalf of the legal person			
	• True name and/or names used as these are stated on the official identity card or passport			
	• Date and place of birth			
	• Nationality (and citizenship if different)			
	• Passport series and number, date of issue and expiration, authority			

	<ul style="list-style-type: none"> • Full permanent address, including postal code • Telephone (home and mobile), fax numbers, e-mail address • Details of the profession and other occupations including the name of employer/business organisation • Document, which confirms the authority 	
11.	Identification information of ultimate beneficial owners	
	<ul style="list-style-type: none"> • True name and/or names used as these are stated on the official identity card or passport 	
	<ul style="list-style-type: none"> • Date and place of birth 	
	<ul style="list-style-type: none"> • Nationality (and citizenship if different) 	
	<ul style="list-style-type: none"> • Tax residency country 	
	<ul style="list-style-type: none"> • Residency country 	
	<ul style="list-style-type: none"> • Passport series and number, date of issue and expiration, authority 	
	<ul style="list-style-type: none"> • Full permanent address, including postal code 	
	<ul style="list-style-type: none"> • Telephone (home and mobile), fax numbers, e-mail address 	
	<ul style="list-style-type: none"> • Details of the profession and other occupations including the name of employer/business organisation 	
	<ul style="list-style-type: none"> • Source and further description of funds and wealth 	
	<ul style="list-style-type: none"> • Size of wealth 	
	<ul style="list-style-type: none"> • Annual income of the ultimate beneficiary owner 	<input type="checkbox"/> EUR 20,000 – 50,000 <input type="checkbox"/> EUR 50,000 – 100,000 <input type="checkbox"/> EUR 100,000 – 500,000 <input type="checkbox"/> > EUR 500,000
12.	Beneficial ownership and control structure (to be provided separately)	
12.1.	In case the company is a part of the group, please provide:	<input type="checkbox"/> Not applicable <input type="checkbox"/> Yes, details:
	<ul style="list-style-type: none"> • Ownership structure of the group • Name and country of incorporation of the parent company • Name and country of incorporation of subsidiary companies and associate companies • Main activities of the parent company • Financial information of the parent company (if publicly available, please provide link) 	
12.2.	The registered shareholders that act as nominees of the beneficial owners (if any). For individuals please add same identification details as for UBO	<input type="checkbox"/> Yes, details: <input type="checkbox"/> There are no such persons
13.	Client, authorized person, directors, beneficial owners of the client are politically exposed persons, family members or close associates	<input type="checkbox"/> Yes, details: <input type="checkbox"/> No
14.	Client, authorized person, directors, beneficial owners of the client are under Sanctions and Restrictive Measures by UN, EU, Cyprus, USA, HM	<input type="checkbox"/> Yes, details: <input type="checkbox"/> No

PART 2. INVESTMENT PROFILE AND SUITABILITY-APPROPRIATENESS TEST

1.	Purpose, reason and nature of an account or relationship	<input type="checkbox"/>	Counterparty trading line
		<input type="checkbox"/>	Custody account
		<input type="checkbox"/>	Execution only account
2.	Is your account intended for proprietary trading or to facilitate transactions conducted on an agency basis on behalf of your clients?	<input type="checkbox"/>	Proprietary Activity
		<input type="checkbox"/>	Agency Basis
3.	Regularity or duration of the business relationship	<input type="checkbox"/>	Occasional transactions
		<input type="checkbox"/>	Regular business relationships
4.	Clear description of the main business / professional activities / operations, type and nature of business activities		
5.	Source of funds and wealth		
	• Expected source of funds		
	• Size of wealth		
	• Source and further description of funds and wealth (<i>e.g. For trusts, how did settler accumulate wealth? For inheritance, how did family accumulate wealth? For business owners, the business profile (securities transactions, real estate, etc), how long in business, how many employees, level of profitability</i>)		
	• Annual income		
	• Disposable income (if not available in financial statements)		
6.	Expected pattern and level of transactions		
	• Anticipated account turnover (anticipated annualized amount to be invested)		
	• Nature of the transactions		
	• Expected origin of incoming funds to be credited in the account		
	• Level of assets to be deposited or the size of transactions undertaken		
	• Expected destination of outgoing transfers/payments		
7.	Suitability and appropriateness test		
	• Number of trades during past 12 months (frequency)	<input type="checkbox"/>	0-3 per month
		<input type="checkbox"/>	3-10 per month
		<input type="checkbox"/>	10-50 per month
		<input type="checkbox"/>	more than 50 per month
	• Number of future trades (frequency)	<input type="checkbox"/>	0-3 per month
		<input type="checkbox"/>	3-10 per month
		<input type="checkbox"/>	10-50 per month
		<input type="checkbox"/>	more than 50 per month
	• Size of financial instrument portfolio, including cash deposits and financial instruments, '000 (<i>please indicate currency</i>)	<input type="checkbox"/>	0-10
		<input type="checkbox"/>	10<X<100
		<input type="checkbox"/>	100<X<500
		<input type="checkbox"/>	500<X
	• Type of investments	<input type="checkbox"/>	Long Term

		<input type="checkbox"/>	Short Term
		<input type="checkbox"/>	Capital Gains
<ul style="list-style-type: none"> Trading style / strategies used 	<input type="checkbox"/>	Never utilized any	
	<input type="checkbox"/>	Buy and hold	
	<input type="checkbox"/>	Speculative trading (plain vanilla instruments, derivatives –futures, CFDs)	
	<input type="checkbox"/>	Complex strategies involving derivatives (hedging, arbitrage, option positions)	
<ul style="list-style-type: none"> Risk Tolerance 	<input type="checkbox"/>	Don't know	
	<input type="checkbox"/>	Minor volatility (<i>up to 10%p.a. while stronger fluctuations are possible</i>)	
	<input type="checkbox"/>	Medium volatility (<i>greater than 10%p.a. while stronger fluctuations are possible</i>)	
	<input type="checkbox"/>	Speculative investments which may result in complete loss of capital and or additional requirement of funds	
<ul style="list-style-type: none"> Knowledge & Experience (including directors, managers, authorized persons) 			
Financial instruments		Experience (years)	Vol. monthly transactions (EUR) / Quantity
Nothing			
Stocks			
Bonds			
Collective investment units			
Indices			
ETFs			
<ul style="list-style-type: none"> Financial instruments requested 	<input type="checkbox"/>	Stocks	
	<input type="checkbox"/>	Bonds	
	<input type="checkbox"/>	Collective investment units	
	<input type="checkbox"/>	Indices	
	<input type="checkbox"/>	ETFs	
<input type="checkbox"/>	We do not want to receive any investment advice and we do not want to receive any advice beyond the legally prescribed minimum		
<input type="checkbox"/>	We will give instructions concerning investments via electronic mediums or telephone, and as such do not require to receive investment advice pursuant to a separate agreement		
<input type="checkbox"/>	We are fully aware and understand the risks connected with financial services/products we intend to work with		
<input type="checkbox"/>	We state that we shall be treated as a Professional client in respect of all / some services/products we will work with (please specify)		
<input type="checkbox"/>	We receive warning of the protections and investor compensation rights we can lose and we are fully aware of the consequences of losing such protection		
<input type="checkbox"/>	Unless we notify Dragon Capital (Cyprus) Limited in writing it is assumed that there are no restrictions on the type of transaction Dragon Capital (Cyprus) Limited recommends to us or enter into with Dragon Capital (Cyprus) Limited or the markets upon which transactions may be effected		
<input type="checkbox"/>	I give my express consent to Dragon Capital (Cyprus) Limited to use my personal data (name, contact details, etc) for its own purposes including but not limited to keeping, processing and transferring to third parties as required by current legislation. <i>For more information please review our Privacy Policy (http://www.dccl.com.cy/mifid.html).</i>		

NAME	POSITION	DATE	SIGNATURE

